

5G 비전을 실현하기 위한 5G 무선통신기술 웹 세미나



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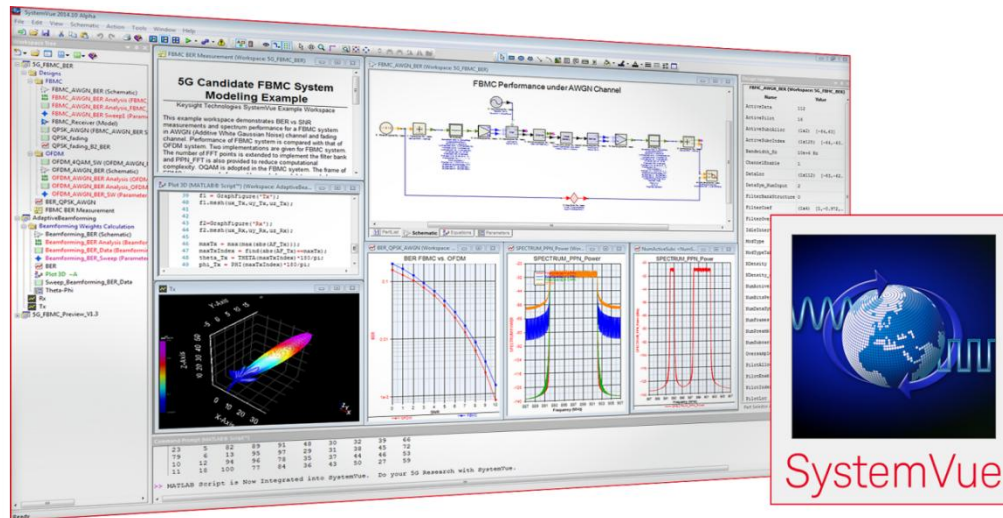


Agenda

- Modeling and evaluating multiple waveform techniques
- What do you need for mmWave MIMO radio channel study?
- Multi-Antenna Techniques



Keysight EEs of EDA
Asia Market Development Manager 이 준 부장



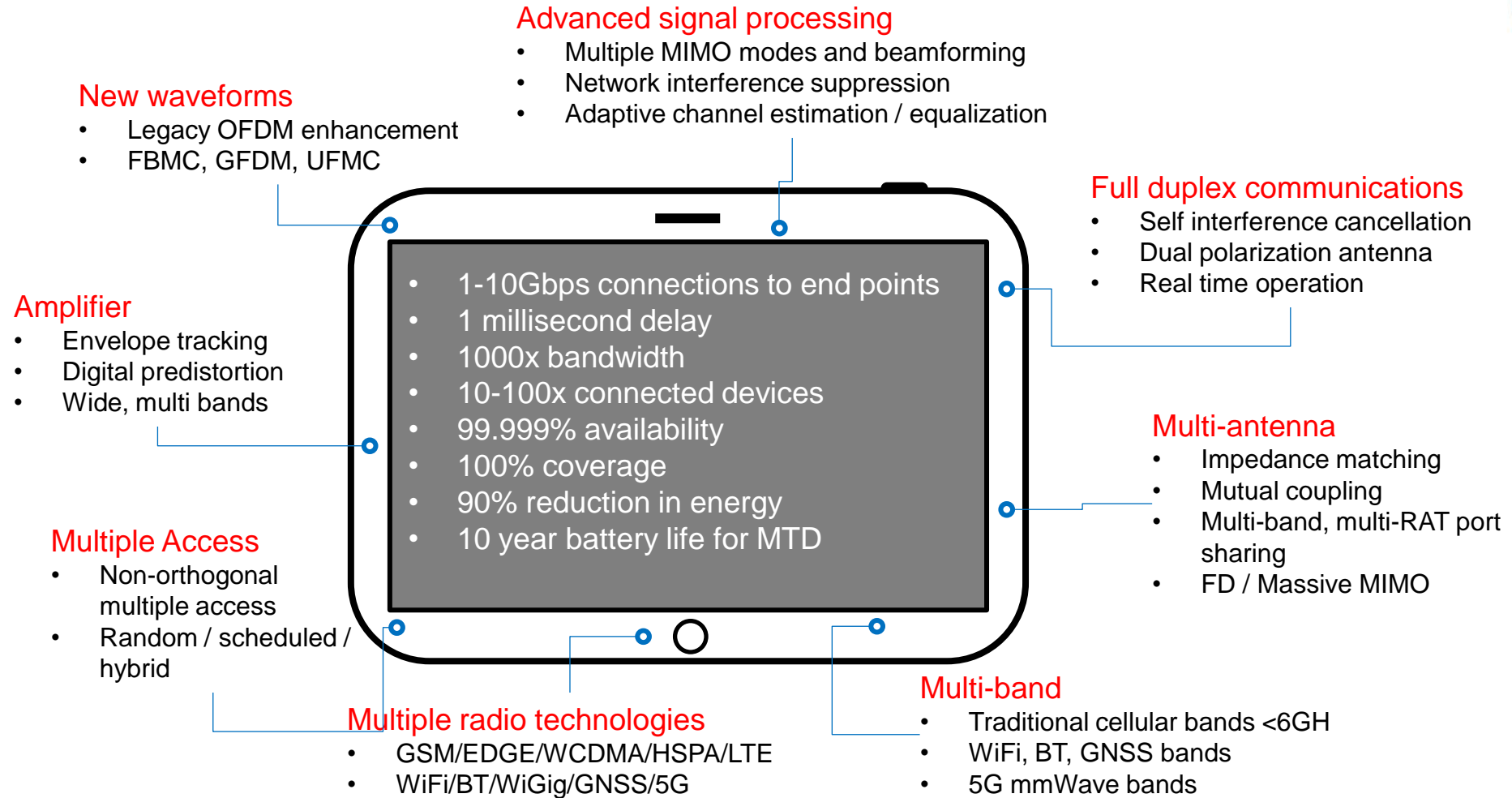
Keysight EEs of EDA
Application Engineer 조 성원 부장

Part I. Modeling and evaluating multiple waveform techniques

Keysight EEsof EDA



5G Enabling Devices >> Research Challenges

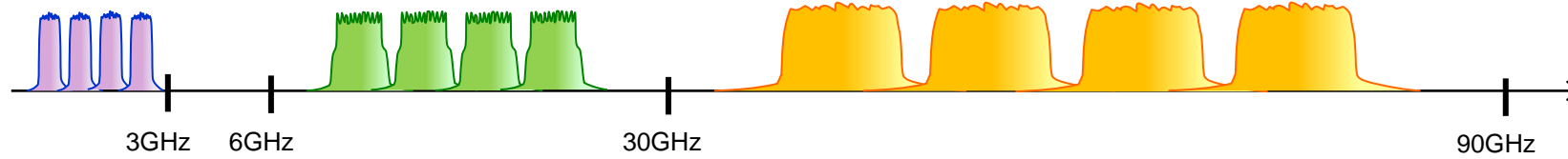


Waveform Design Considerations for 5G

Waveform

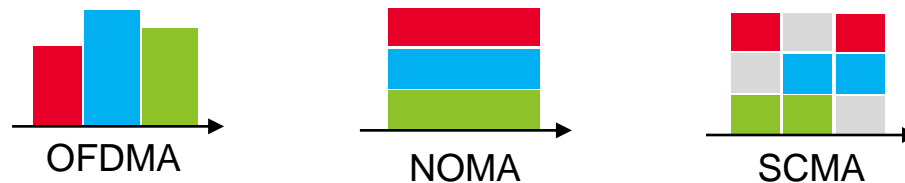
Advanced Multi-Carrier Waveforms ¹		
OFDM	FBMC / UFMC / Others	Single carrier

Bandwidth / Frequency



>> Wider BW, Higher Fc, much sensitive at phase noise

New RAT



Note¹:

- Orthogonal Frequency Division Multiplexing(OFDM)
- Filter Bank Multicarrier(FBMC)
- Universal Filtered Multicarrier(UFMC)
- Generalized Frequency Division Multiplexing(GFDM)
- Frequency Quadrature Amplitude Modulation(FQAM)

Waveform Requirements

- Efficiently support high density users
- Optimized multiple access
- Carrier assignment schemes in asynchronous context

- Efficient usage of the allocated spectrum
- Robustness to narrow-band jammers and impulse noise
- High performance spectrum sensing

- Low computational complexity
- Compatibility OFDM vs. NEW

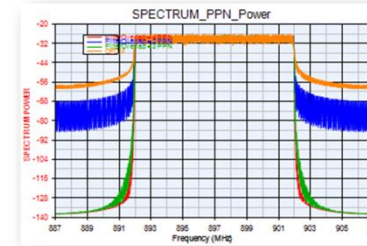


Figure 1.
– OFDM vs. FBMC Spectrum Using different filter overlap factor

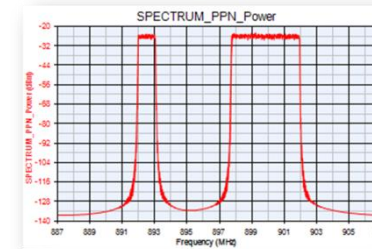


Figure 2.
– FBMC Fragmented Spectrum

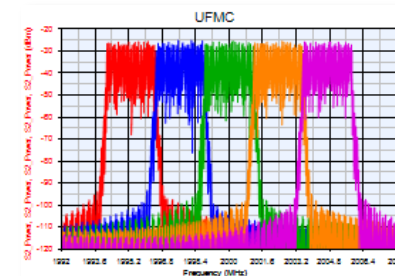
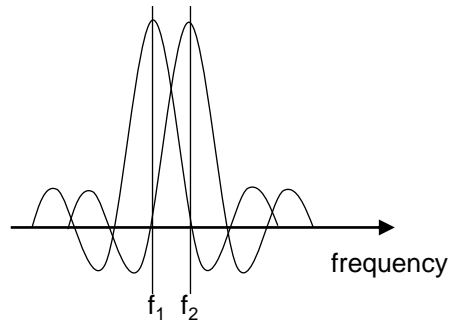


Figure 3.
– UFMC multiplex of sub-bands

OFDM

Advantage

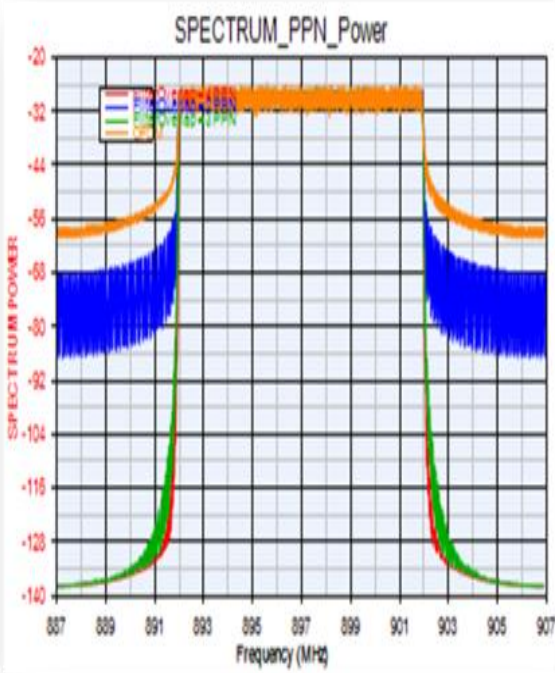
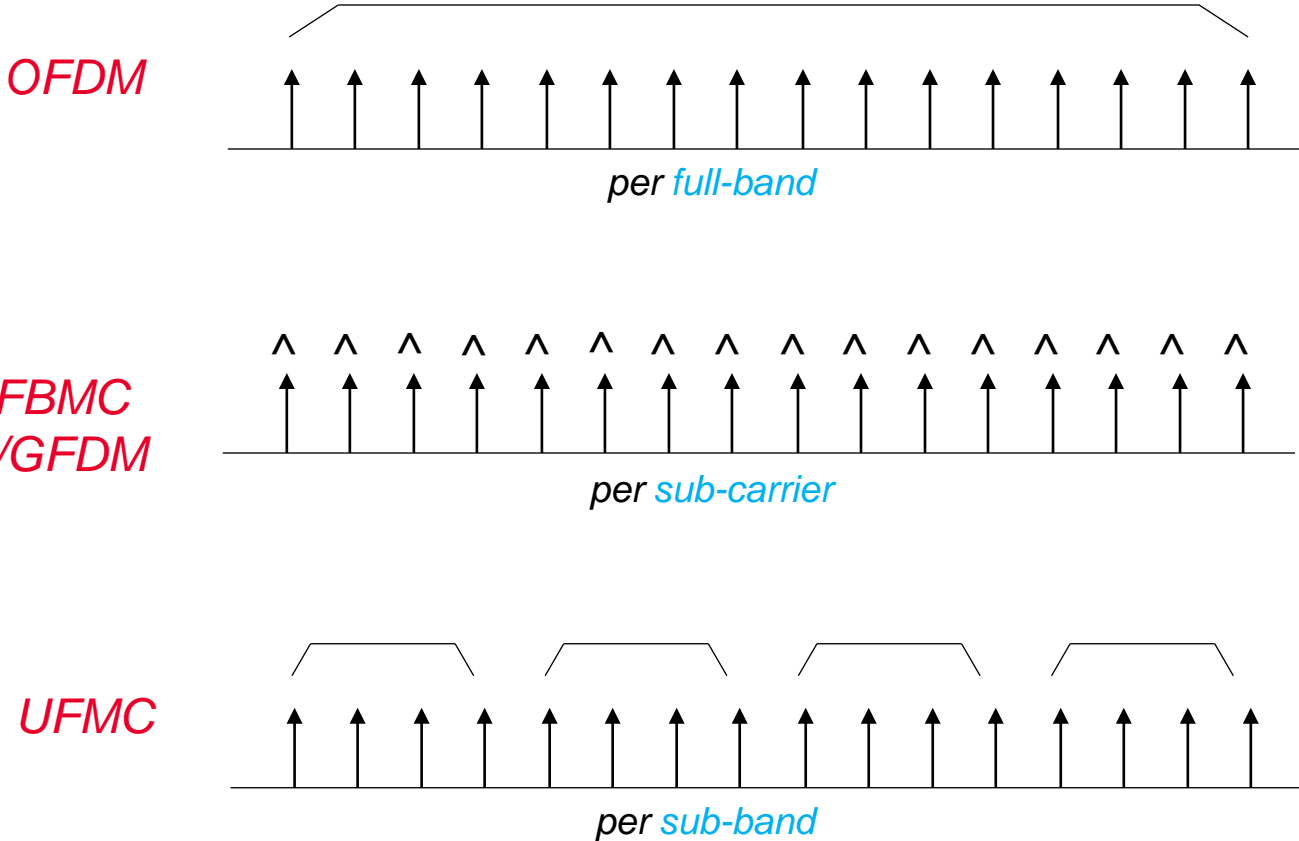
- Good spectral efficiency
- Resistance against multipath interference
- Efficiently implemented using FFTs and IFFTs
- Subcarrier nulls correspond to peaks of adjacent subcarriers for zero inter-carrier-interference



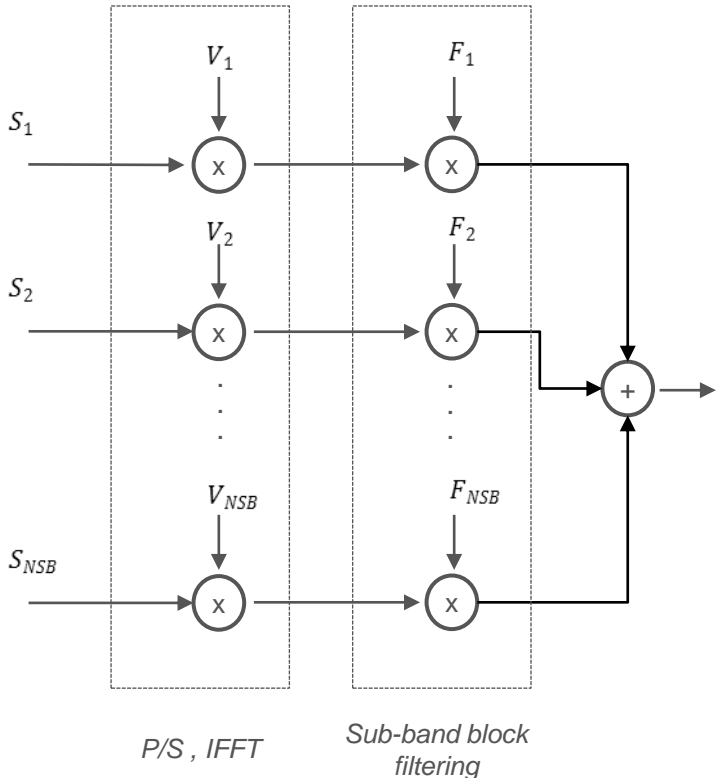
Drawback

- Some loss of spectral efficiency due to Cyclic Prefix insertion
- Imperfect synchronization cause loss of orthogonality
- Subcarrier intermodulation must be reduced
- High out-of-band power
- Large peak to average power ratio(PAR) leads to amplifier inefficiency

Different Type of Waveforms and Filter Operation



UFMC - Universal Filtered Multi-Carrier



$$X_k = \sum_{i=1}^{N_{SB}} F_{i,k} V_{i,k} S_{i,k}$$

$[(N+L-1), 1]$ $[(N+L-1), N]$ $[N, n_i]$ $[n, 1]$

where:

- N : FFT size, L : Filter length, n_i : Complex QAM symbol
- $F_{i,k}$ is a Toeplitz matrix, composed of the filter impulse response
- $V_{i,k}$ is a IDFT matrix, according to the respective sub – band position
- $S_{i,k}$ is a symbol matrix

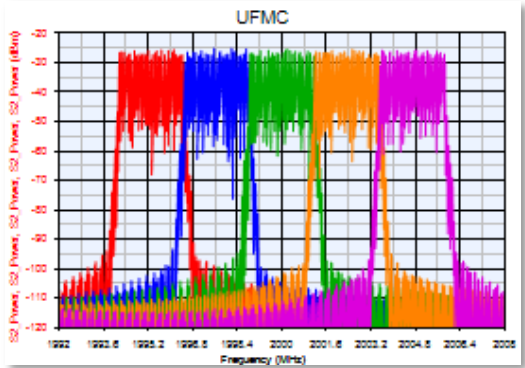
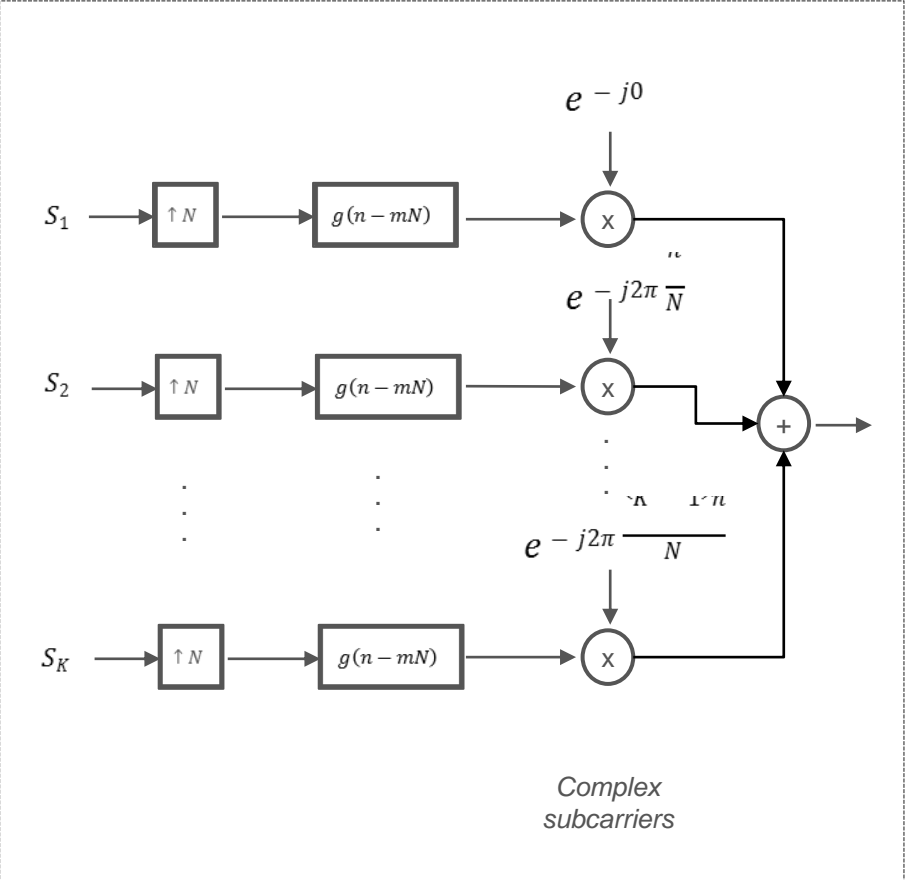


Figure 1. Five sub-band multiplexed

* OFDM can be implemented by set L as 1

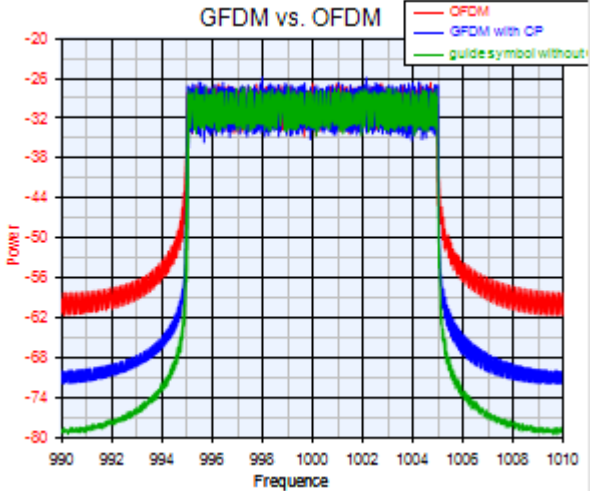
GFDM – Generalized Frequency Division Multiplexing



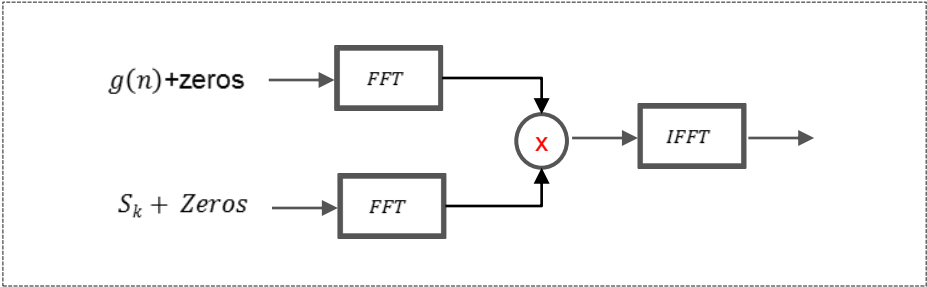
$$X_n = \sum_{k=0}^{K-1} \sum_{m=0}^{M-1} S_{k,m} \delta(n - mN) * g(n - mN) e^{-j2\pi \frac{k}{N} n}$$

where:

- $S_{k,m}$ is a symbol sequence
- K : number of subcarriers,
- M : number of symbols per subcarrier
- $g(n)$ is a transmit filter
- $*$: circular convolution

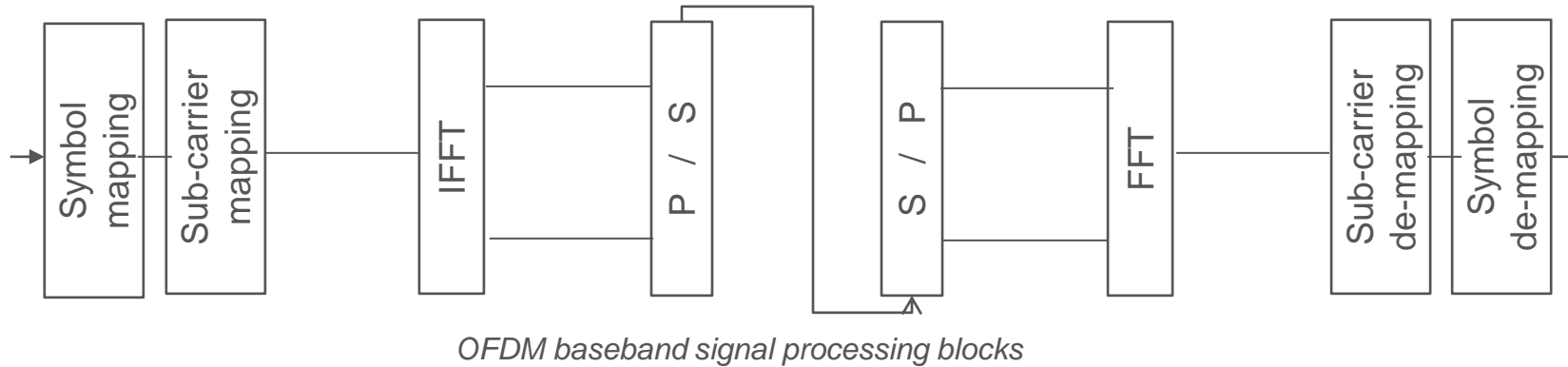


Circular convolution implementation in frequency domain

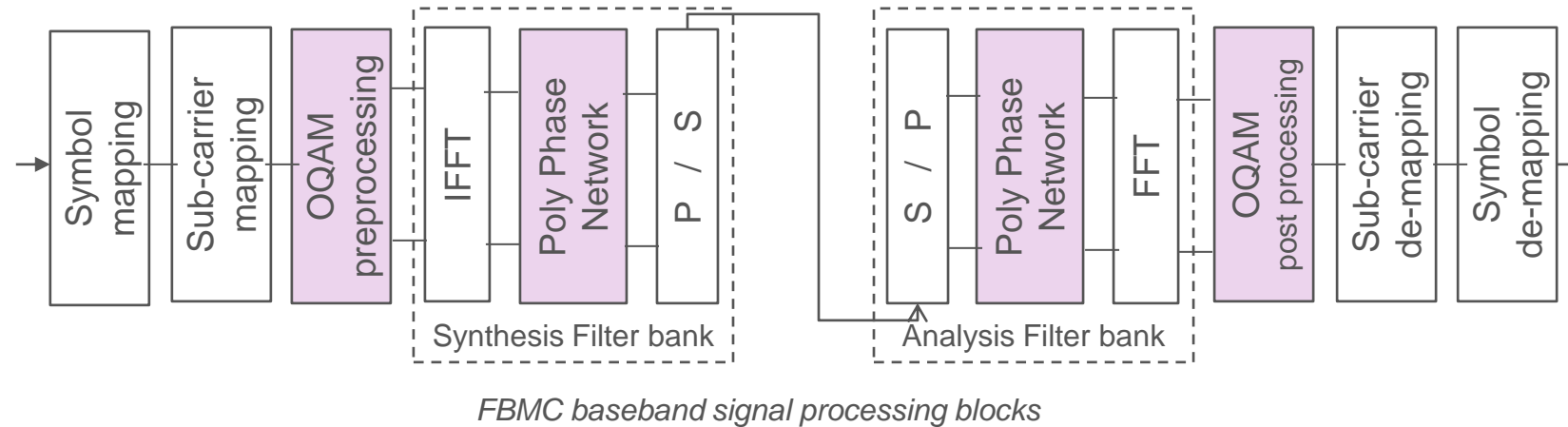


What is Problem Being Solved?

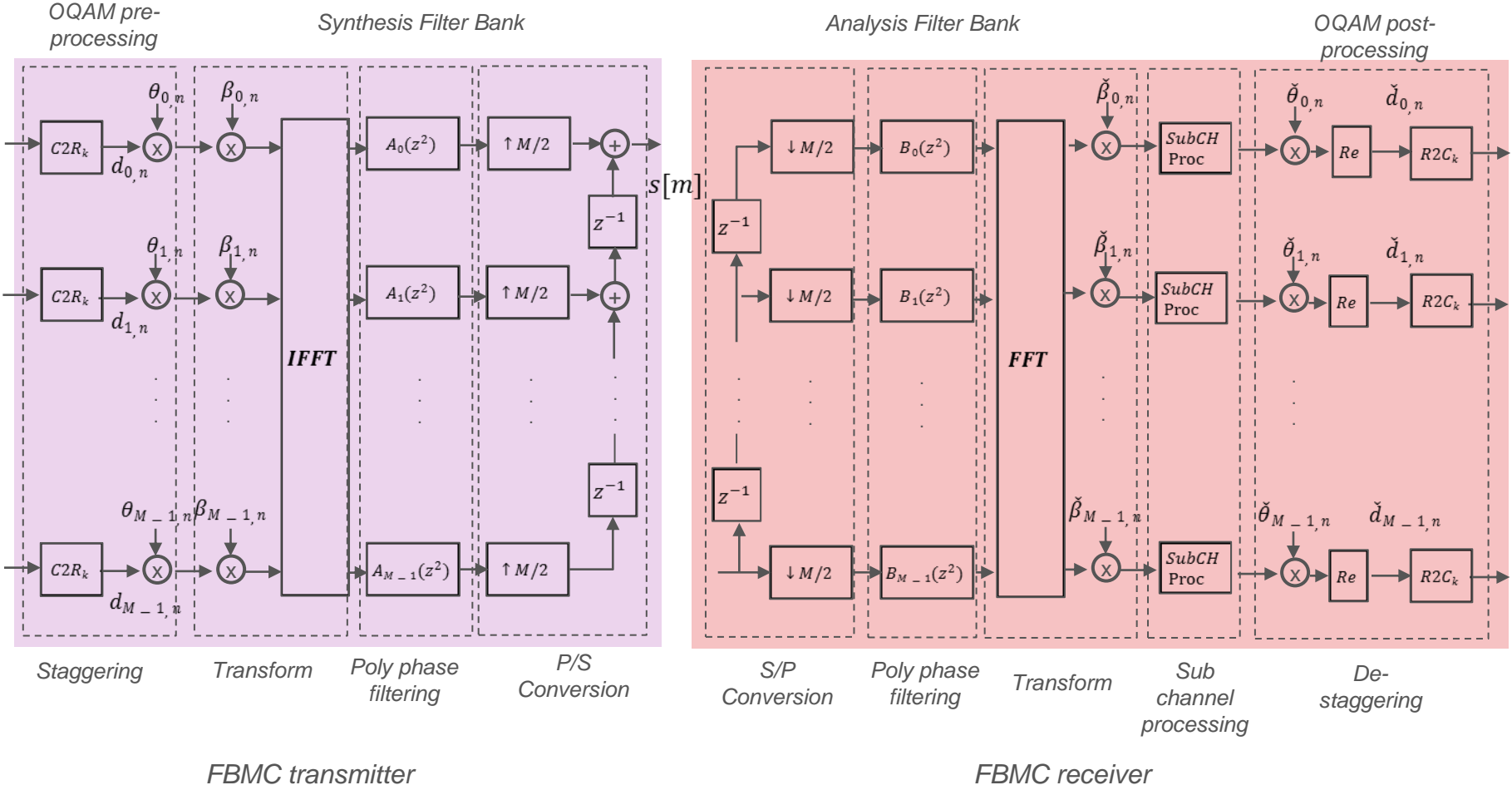
High adjacent channel power ratio(ACPR)



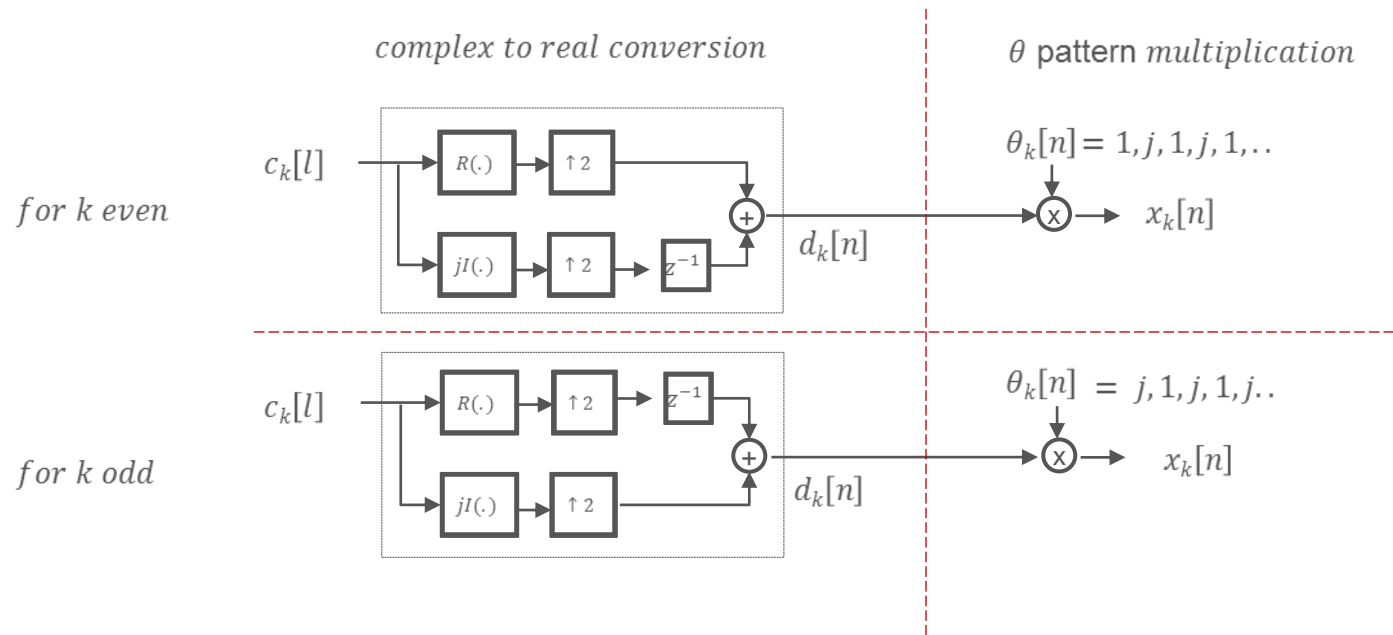
High peak-to-average power ratio(PAPR)



FBMC Signal Processing Block

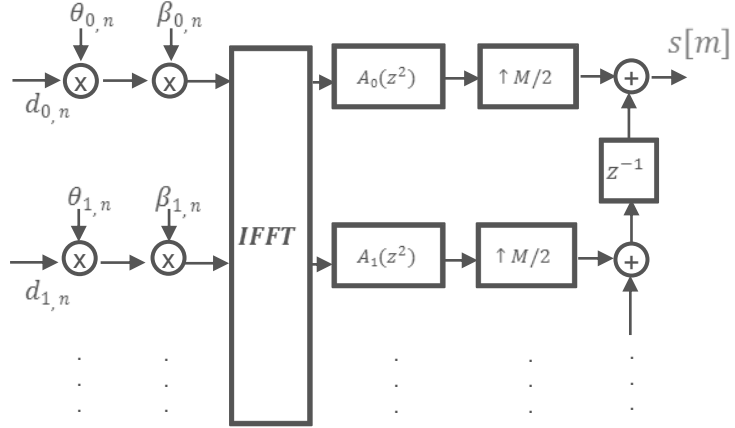


OQAM Preprocessing



- A time offset of half a QAM symbol period ($T/2$) is applied to either the real part or the imaginary part of the QAM symbol
- For two successive sub-channels, say m and $m+1$, the offset are applied to the real part of the QAM symbol in sub-channel m , while it is applied to the imaginary part of the QAM symbol in sub-channel $m+1$.

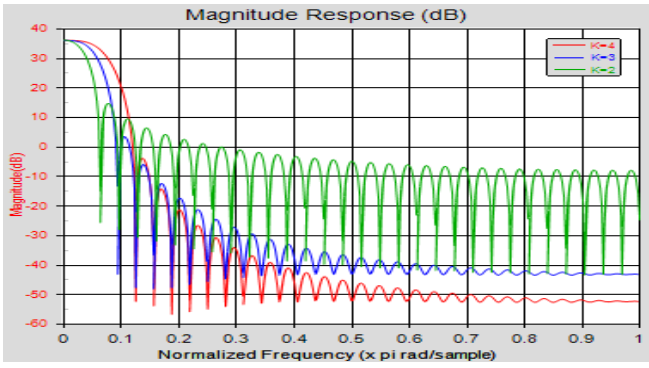
Synthesis Filter Bank



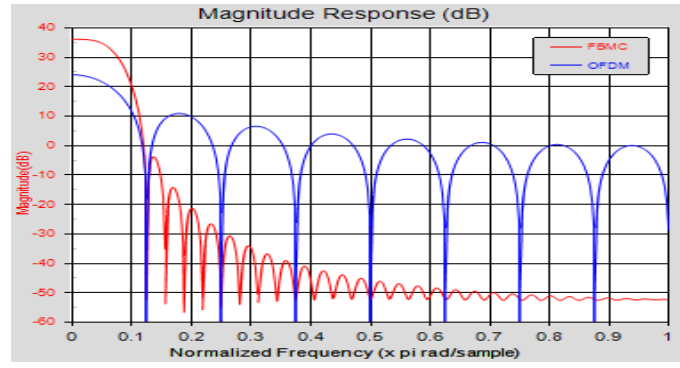
$$s[m] = \sum_{k=0}^{M-1} \sum_{n=-\infty}^{\infty} d_{k,n} \theta_{k,n} g_k[m - nM/2]$$

where:

- M is number of subcarriers
- $d_{k,n}$ is the real valued symbol
- $\theta_{k,n}$ is $j^{(k+n)}$
- $g_k(m)$ is impulse response of the filters



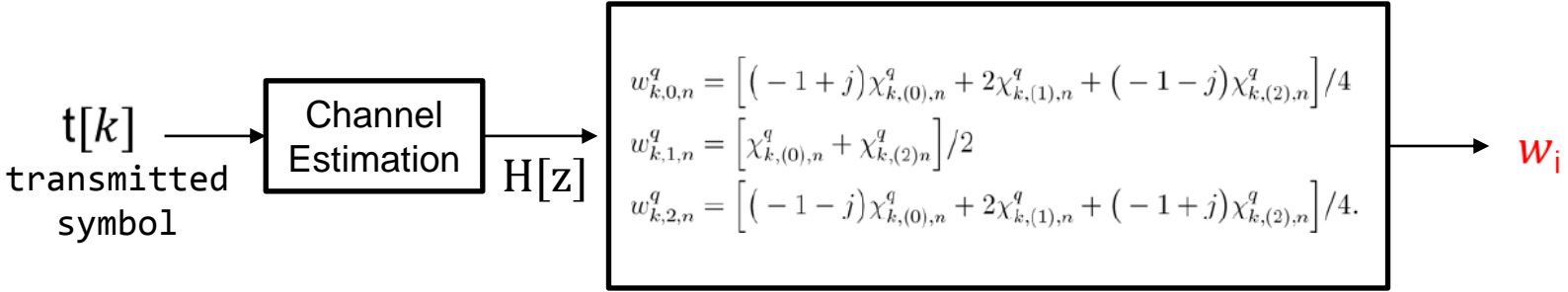
* Filter overlap factor K : number of multicarrier symbols which overlap in the time domain.



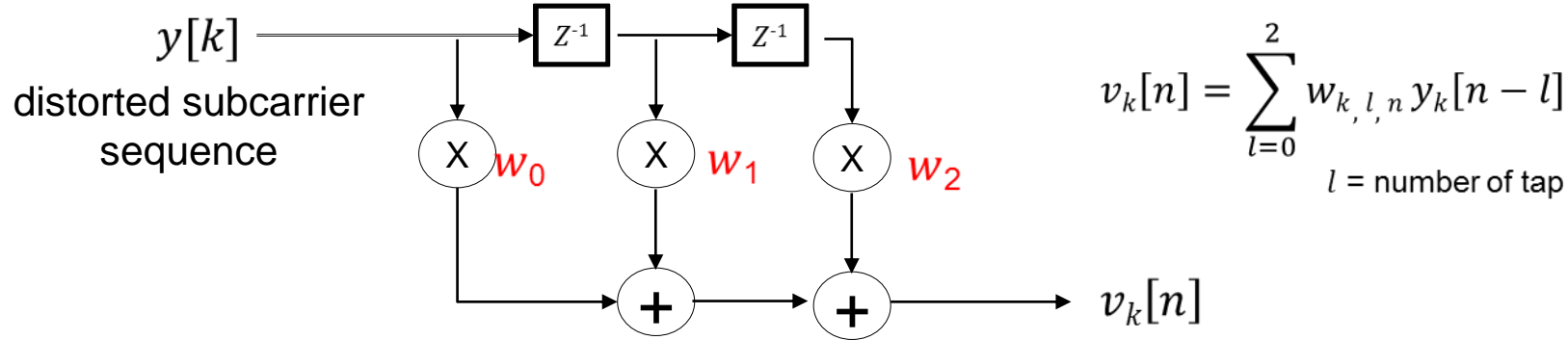
* OFDM can be implemented by set K as 1

Sub-channel Equalization

Maximal ratio combined diversity reception



Evaluation of MRC weighted target values



$$v_k[n] = \sum_{l=0}^2 w_{k,l,n} y_k[n-l]$$

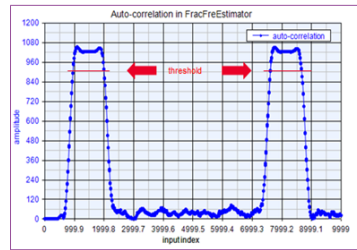
$l = \text{number of tap}$

3-tap Complex FIR frequency sampling-design

C++ Models for Faster Simulation

Fractional Frequency Estimation

```
//The peak of auto-correlation is chosen by the midpoint of the inputs which exceed the threshold
//FFO is gotten by the angle of the peak
std::vector<double> AutoCorr(m_FrameSizeWithIdle,0);
double maxV = 0;
for (int i = 0; i < m_FrameSizeWithIdle; ++i)
{
    AutoCorr[i] = abs(corr(m_Buffer.begin()+m_FrameSizeWithIdle-2*m_SymSize+i+1,m_Buffer.begin()+m_FrameSizeWithIdle-m_SymSize+i+1,m_SymSize));
    if (AutoCorr[i] > maxV)
        maxV = AutoCorr[i];
}
double threshold = maxV*0.75;
int low_idx = 0;
int upper_idx = 0;
for (int i = 0; i < m_FrameSizeWithIdle; ++i)
{
    if (AutoCorr[i] > threshold)
    {
        low_idx = i;
        break;
    }
}
for (int i = 0; i < m_FrameSizeWithIdle; ++i)
{
    if (AutoCorr[i] > threshold)
        upper_idx = i;
}
int peak_idx = (low_idx + upper_idx)/2;
std::complex<double> peak_corr;
peak_corr = corr(m_Buffer.begin()+m_FrameSizeWithIdle-2*m_SymSize+peak_idx+1,m_Buffer.begin()+m_FrameSizeWithIdle-m_SymSize+peak_idx+1,m_SymSize);
m_FFOEst = atan2(peak_corr.imag(),peak_corr.real())/(2*PI);
```



Channel Estimation and Equalization

```
//The repeated structure of preamble symbols formed cyclic prefix that make it
//robust to multipath. Preamble symbol is chosen to do channel estimation as pilot.
//One Tap, Two Taps and Three Taps equalization could be done by changing the
//parameter NumEqualizerTaps.
//Example code is partial of the entire source
for (int i = 0; i < ActiveSubAllocArraySize/2; i++)
{
    std::vector<std::complex<double>> A, ANeg, APos;
    A.resize(ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1);
    ANeg.resize(ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1);
    APos.resize(ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1);
    for (int k = 0; k < ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1; k++)
        A[k] = tmp[ActiveSubAlloc[2*i]+NumSubcarriers/2+k];
    ANeg[0] = 0.5*(A[ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]] + A[0]);
    for (int k = 1; k < ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1; k++)
    {
        ANeg[k] = 0.5*(A[k-1] + A[k]);
    }
    for (int k = 0; k < ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]; k++)
    {
        APos[k] = 0.5*(A[k+1] + A[k]);
    }
    APos[ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]] = 0.5*(A[ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]] + A[0]);
    for (int k = 0; k < ActiveSubAlloc[2*i+1]-ActiveSubAlloc[2*i]+1; k++)
    {
        m_WNeg[ActiveSubAlloc[2*i]+NumSubcarriers/2+k] = ANeg[k];
        m_WPos[ActiveSubAlloc[2*i]+NumSubcarriers/2+k] = APos[k];
        m_W[ActiveSubAlloc[2*i]+NumSubcarriers/2+k] = A[k];
    }
}
```

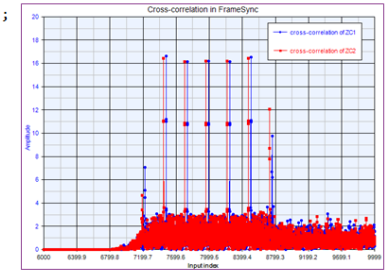
Frame Synchronization

//Timing synchronization is achieved by the cross-correlation between local ZC sequences and received Preamble
 //The number of peak is relative with the number of preamble symbols per frame
 //IFO and timing estimate are gotten by the difference of peaks of two ZC sequences

```
std::copy(m_Buffer.begin()+m_FrameSizeWithIdle,m_Buffer.end(),m_Buffer.begin());
for (int i = 0; i < m_FrameSizeWithIdle; ++i)
    m_Buffer[i+m_FrameSizeWithIdle] = Input[i];
std::vector<double> CrossCorr1(m_FrameSizeWithIdle);
std::vector<double> CrossCorr2(m_FrameSizeWithIdle);
for (int i = 0; i < m_FrameSizeWithIdle; ++i)
{
    CrossCorr1[i] = abs(corr(m_Buffer.begin()+m_FrameSizeWithIdle-
    m_SymSize+i+1,m_ZC_ifft1.begin(),m_SymSize));
    CrossCorr2[i] = abs(corr(m_Buffer.begin()+m_FrameSizeWithIdle-
    m_SymSize+i+1,m_ZC_ifft2.begin(),m_SymSize));
}
```

// Variable definition intentionally removed

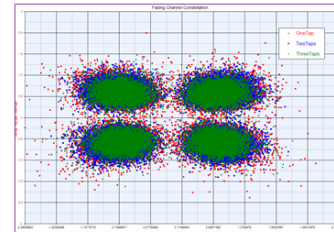
```
IFOEst[0] = (idx2-idx1)/(m_ZC_RootIndex1-m_ZC_RootIndex2)/m_OversampleRatio;
TimingEst[0] = (idx2*m_ZC_RootIndex1-idx1*m_ZC_RootIndex2)/(m_ZC_RootIndex1-m_ZC_RootIndex2)-
(m_FilterOverlapFactor-1)*m_SymSize+1;
```



IFO and Timing Estimation

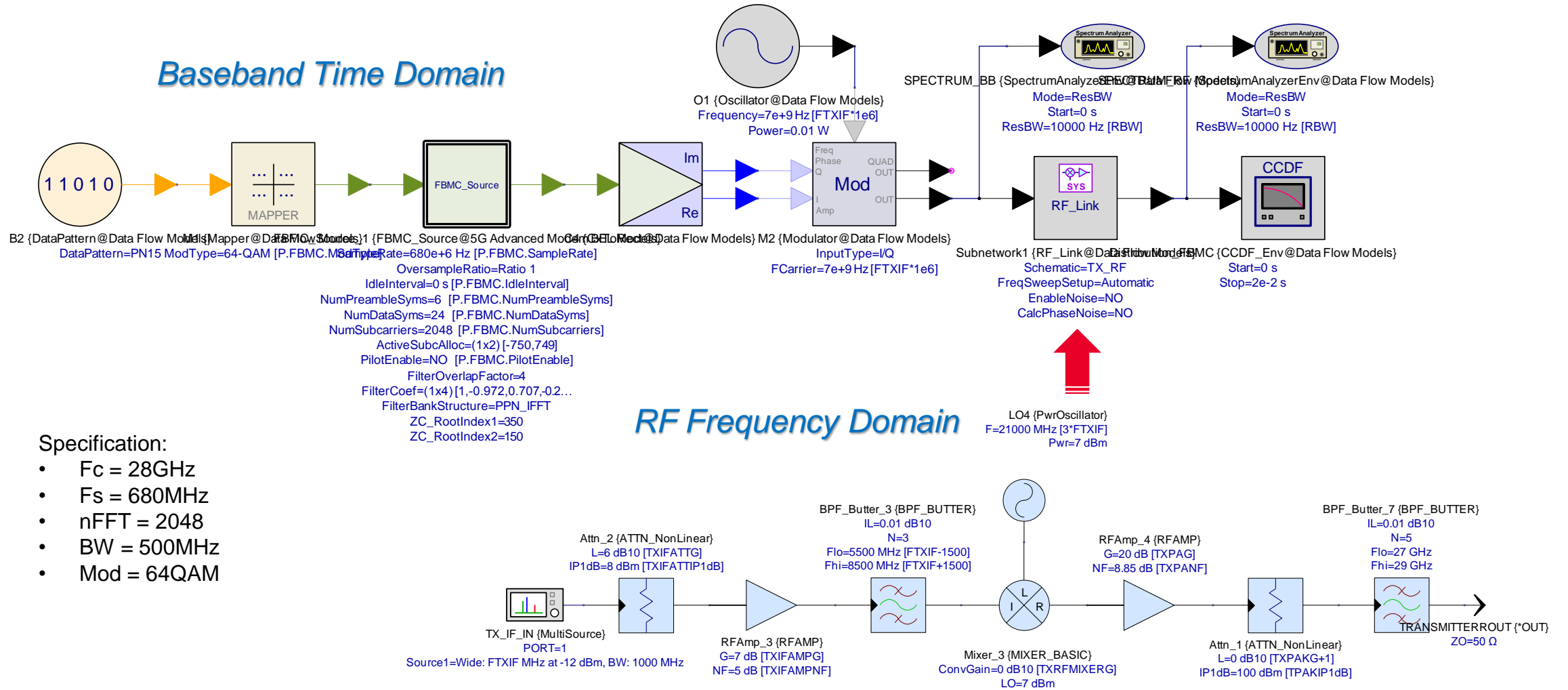


Algorithmic reference to convert synthesizable fixed point model



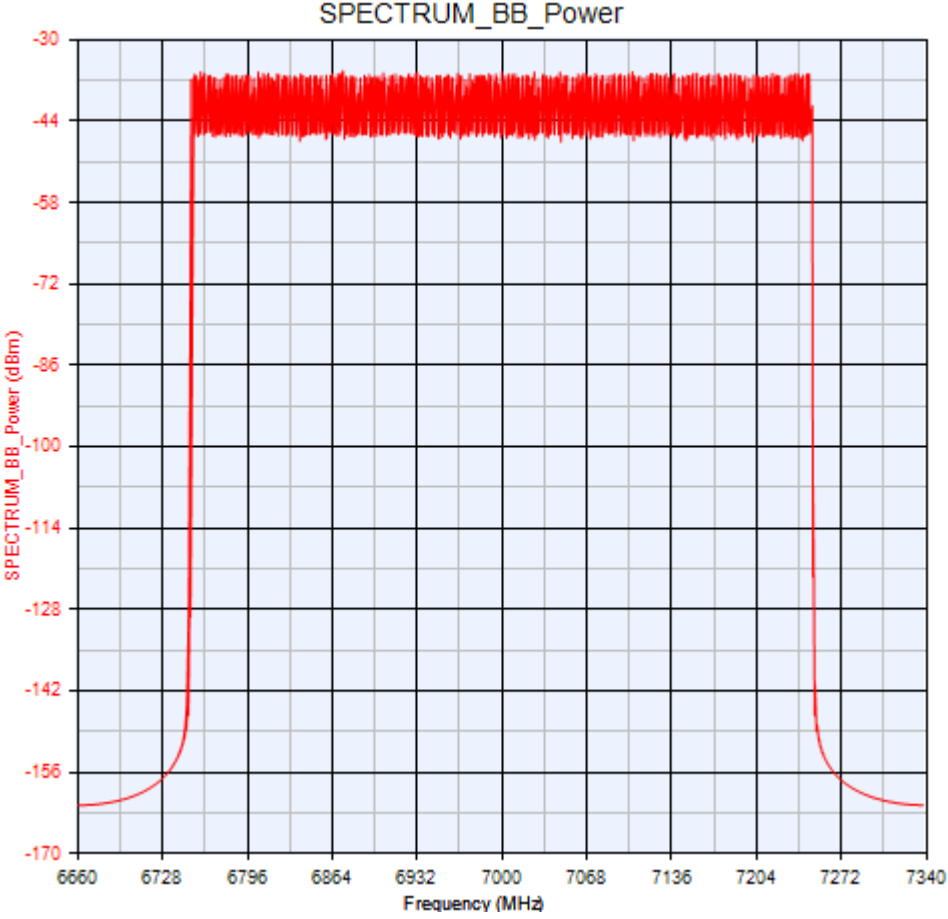
Constellation of Pedestrian_A Channel with SNR= 20dB

Case Study: Cross Domain Modeling & Simulation

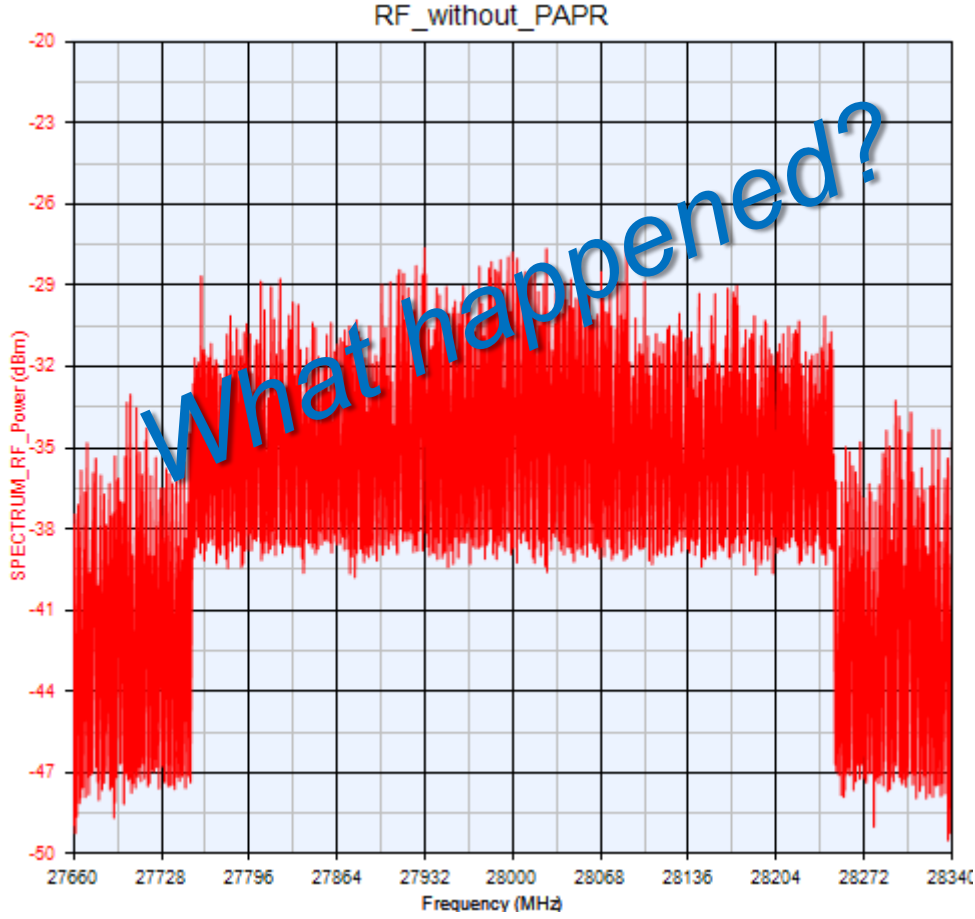


Case Study Continue:

Develop New Clipping Techniques using Realistic RF Models



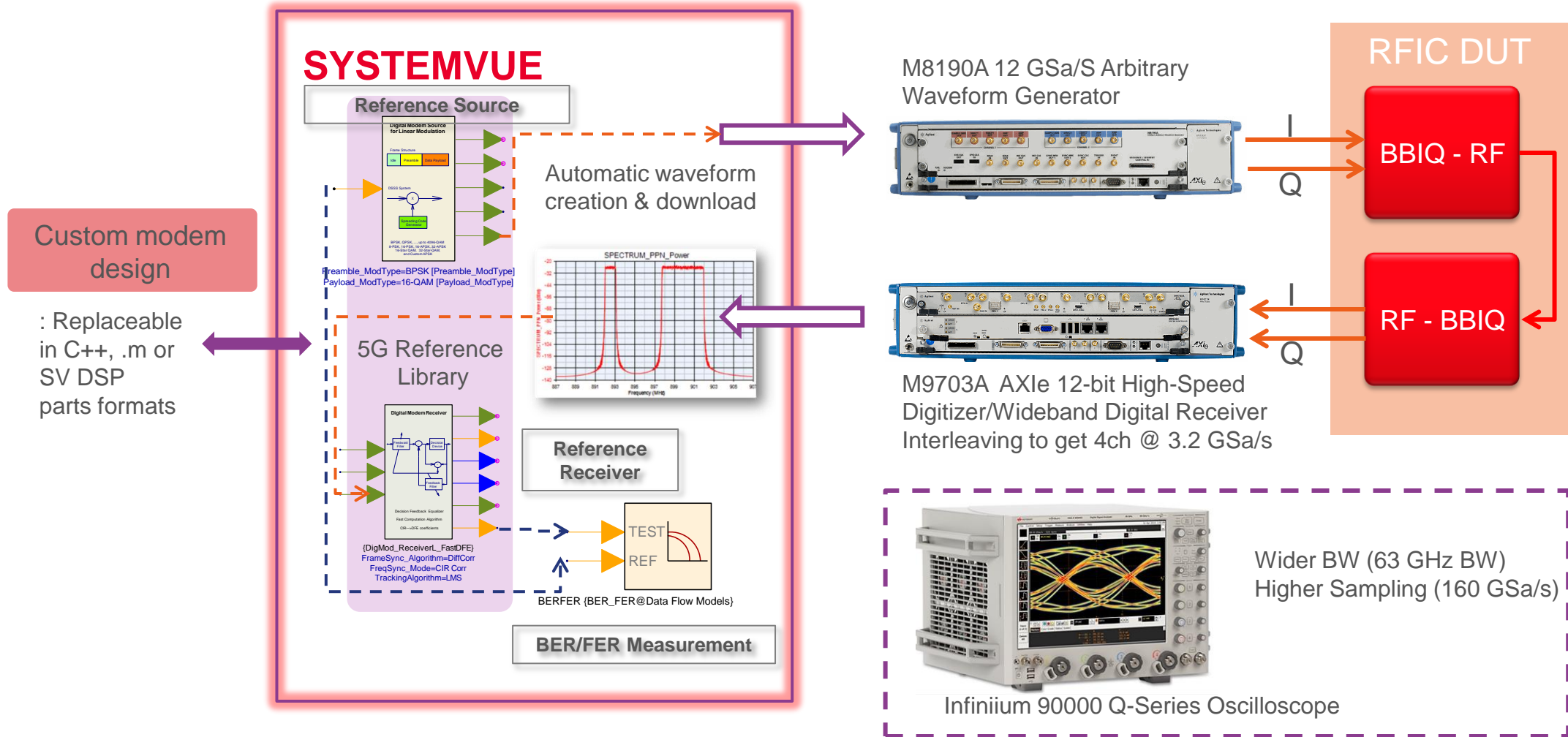
FBMC Baseband Spectrum



FBMC RF Spectrum without PAPR Reduction



Moving from Simulation to Hardware in the loop



Part II.

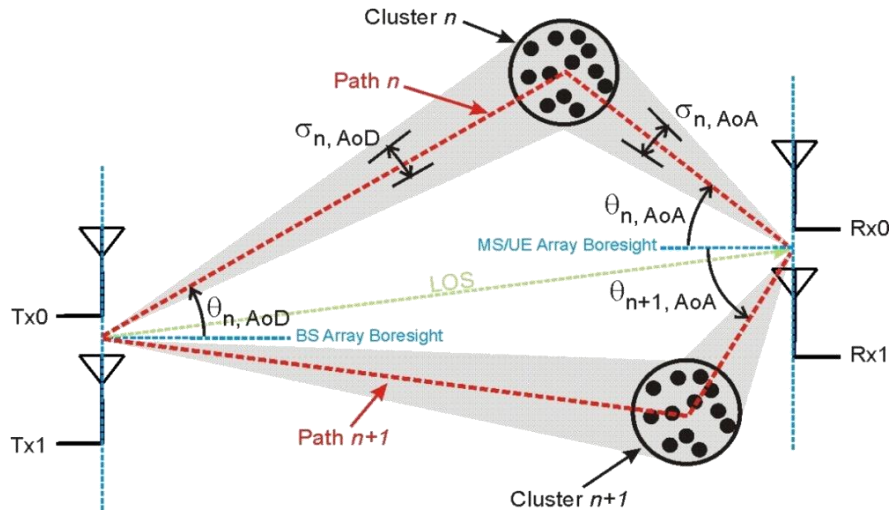
What do you need for mmWave MIMO radio channel study?

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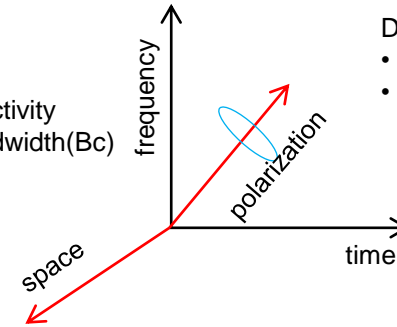


MIMO Fading Channel

How much we know this in higher frequency?



- Delay spread
- Frequency selectivity
 - Coherence bandwidth(B_c)



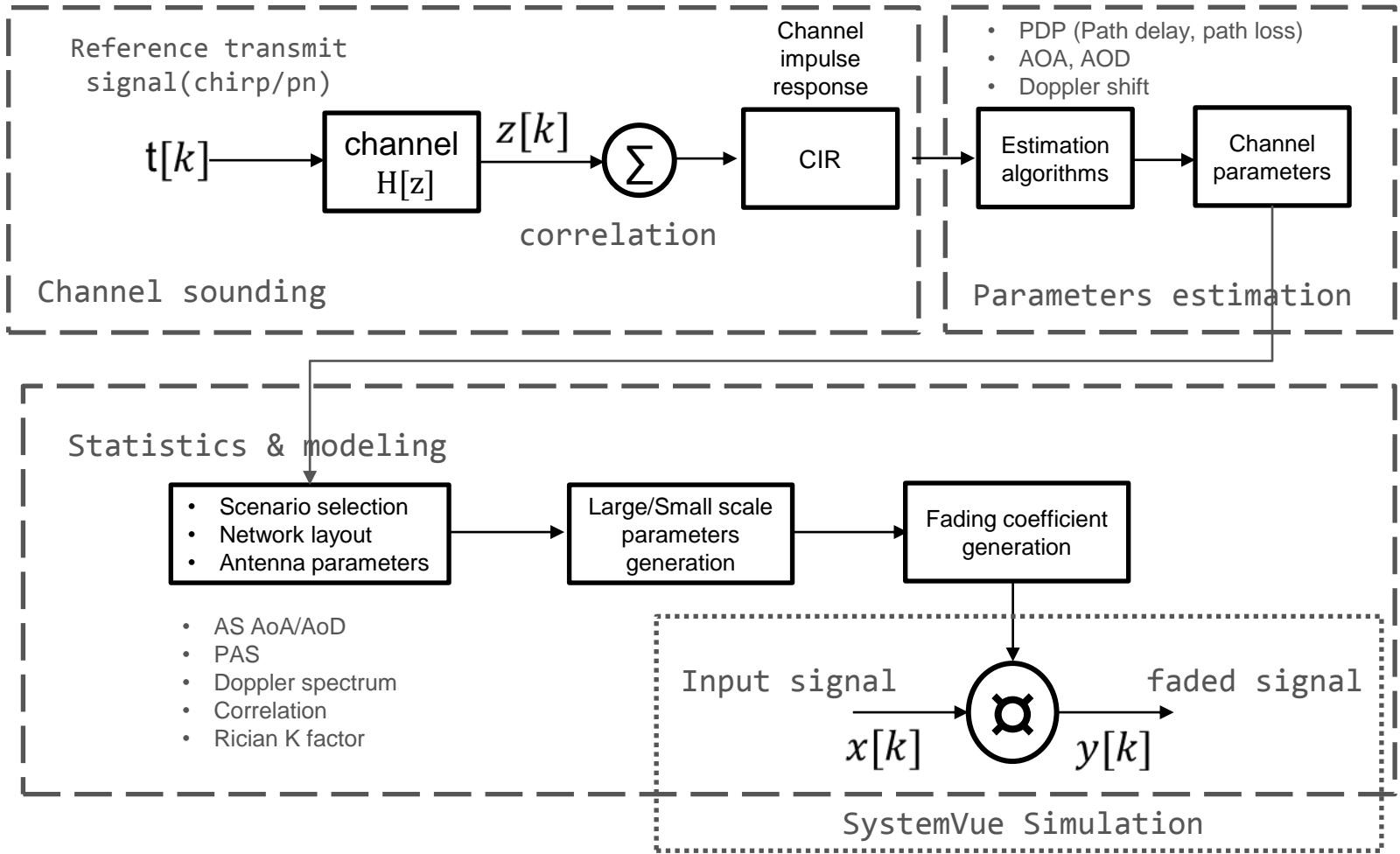
- Doppler spread
- Time selectivity
 - Coherence time(T_c)

- Angular spread
- Spatial selectivity
 - Coherence distance(D_c)

$$\begin{aligned}
 H_{u,s,n}(t; \tau) = & \sum_{m=1}^M \begin{bmatrix} F_{rx,u,v}(\phi_{n,m}) \\ F_{rx,u,h}(\phi_{n,m}) \end{bmatrix}^T \begin{bmatrix} \alpha_{n,m,vv} & \alpha_{n,m,vh} \\ \alpha_{n,m,hv} & \alpha_{n,m,hh} \end{bmatrix} \begin{bmatrix} F_{tx,s,v}(\phi_{n,m}) \\ F_{tx,s,h}(\phi_{n,m}) \end{bmatrix} \\
 & \times \exp(j2\pi\lambda_0^{-1}(\bar{\varphi}_{n,m} \cdot \bar{r}_{rx,u})) \exp(j2\pi\lambda_0^{-1}(\bar{\varphi}_{n,m} \cdot \bar{r}_{tx,s})) \\
 & \times \exp(j2\pi\nu_{n,m}t) \delta(\tau - \tau_{n,m})
 \end{aligned}$$

* Tx antenna element s to Rx element u for cluster n

Channel Sounding / Parameter Extraction / Simulation



ESPRIT

Subspace based algorithm

- ✗ Maximum estimating number of path is limited by number of Rx, will be fail under NLOS scenario
- ✗ cannot estimate path loss and path delay
- ✓ small computing amount

SAGE

Maximum likelihood estimation algorithm

- ✓ No limitation for number of path, suitable for both LOS and NLOS scenarios
- ✓ Can estimate all the channel parameters including path loss and path delay of each path
- ✗ Iteration needed, large computing amount

Requirements and Challenges

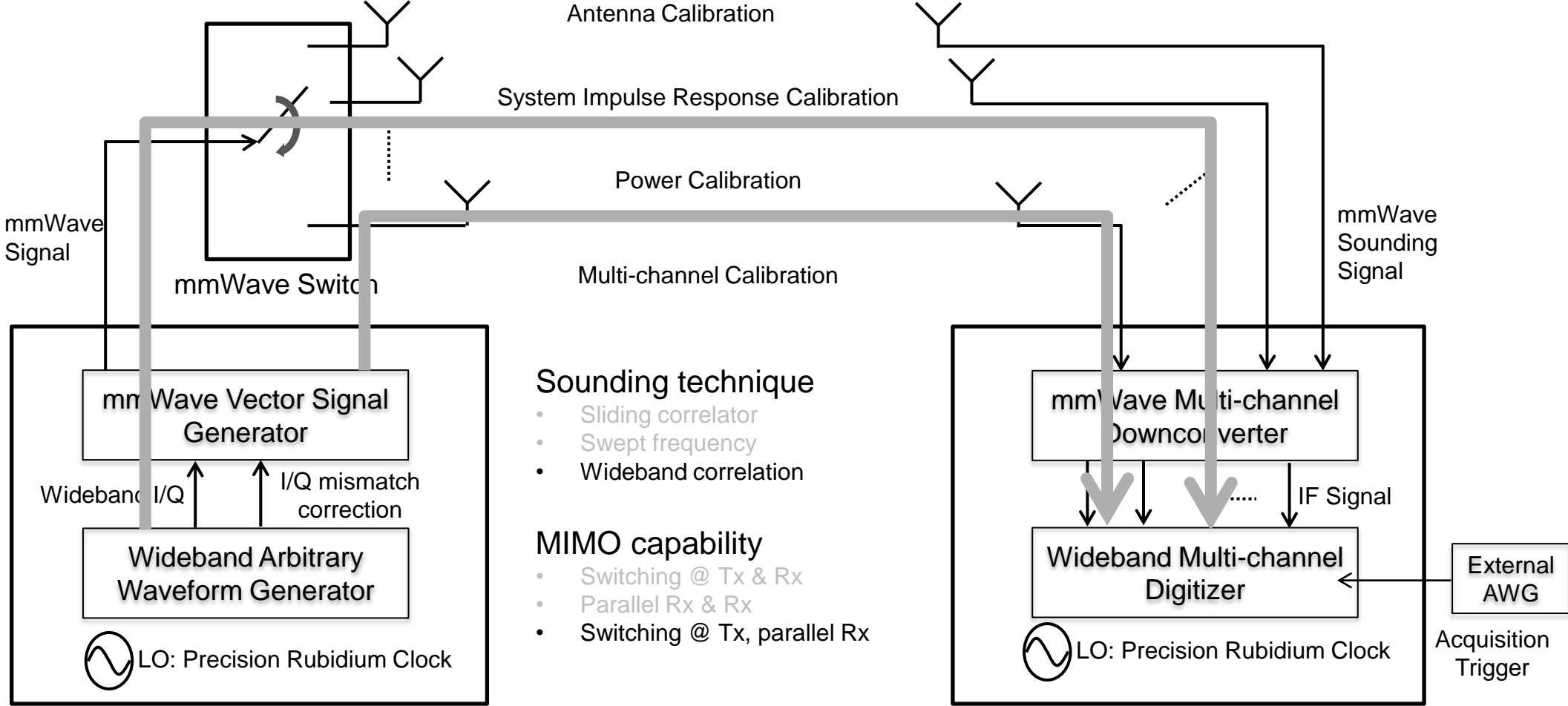
System Requirements

- Spatial consistency and mobility
- Diffuse versus specular scattering
- Very large antenna arrays
- Frequency range
- Complexity vs. Accuracy
- Applicability of the existing and proposed models on the 5G requirements

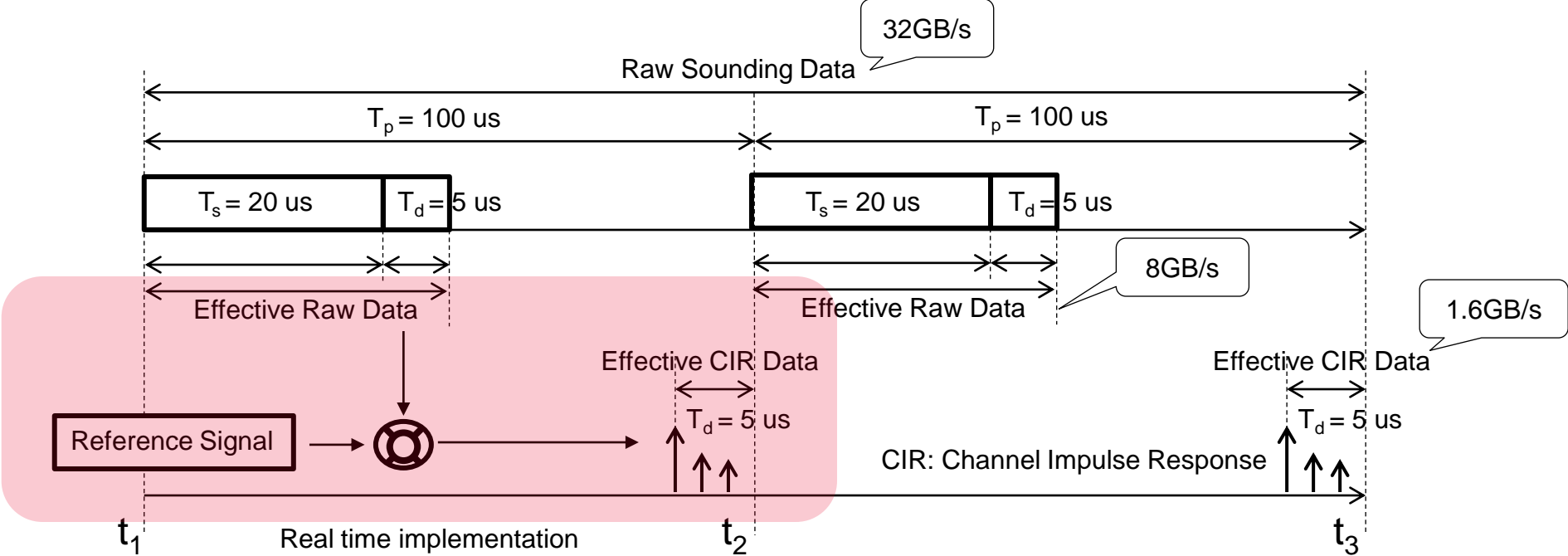
Technical Challenges

- Channel measurement methodology
- High frequency instrumentation
- Ultra-broad band signal
- Synchronization and calibration
- Data streaming
- Channel parameter estimation process

Proposed Architecture / System Considerations



Data Capture and Streaming Considerations

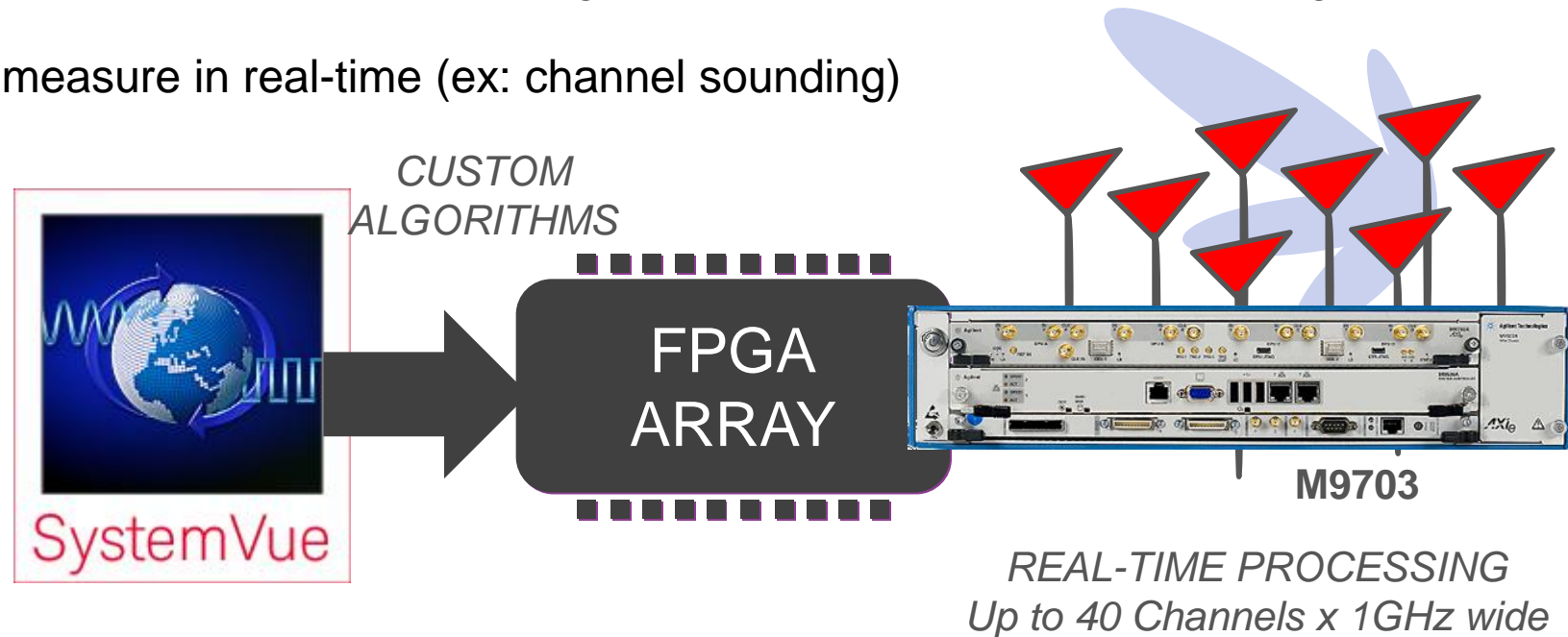


Note:

- 1GSa/s sampling rate,
- T_p = transmitting period, T_s = transmitting signal length, T_d = max delay spread
- PCI express 2.0 bandwidth(x16 lane) = 64Gbit/s(8GB/s)

Prototyping and Testing in Real Time Hardware

- Move forward from largely theoretical massive MIMO research to real hardware implementation and test
- Open FPGA and download custom algorithms for MIMO and Beamforming
- Test and measure in real-time (ex: channel sounding)



Parameter Estimation Algorithms

Algorithm	Consistency	Coherent Signals	Estimation Performance	Computations	Max Num. of Path	
Beamforming Based	Bartlett	L=1	No	Poor	1-D search	
	Capon	No	No	Poor	1-D search	
Subspace Based	MUSIC ¹	Yes	No; Yes for ROOT-MUSIC	Good	EVD, 1-D search	< Num. of Rx
	ESPRIT ²	Yes	No; Yes for TLS-ESPRIT and Unitary-ESPRIT	Good	EVD	< Num. of Rx
ML Based	SAGE³	Yes	Yes	Good	Iterative, 1-D search	No limitation

¹**MUSIC**: **M**ultiple **S**ignal **C**lassification

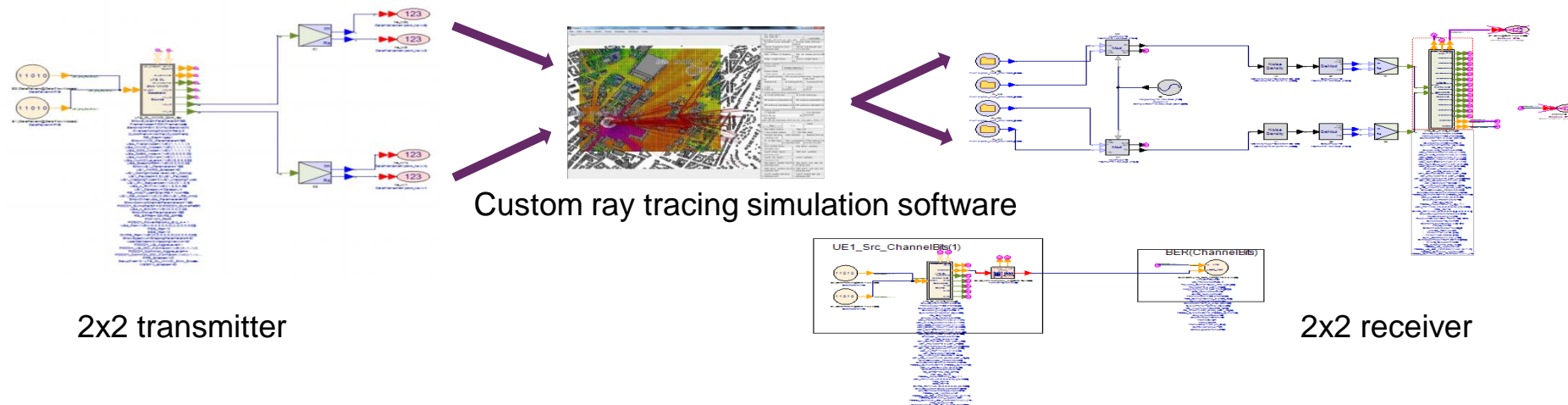
²**ESPRIT**: **E**stimating **S**ignal **P**arameter via **R**otation **I**nvariance

³**SAGE**: **S**pace-**A**lternating **G**eneralized **E**xpectation maximization

⁴**EVD**: **E**igen-**V**alue **D**ecomposition

Case Study: Interact between Ray Tracing Fading Engine

- Stochastic channel models **fail to accurately represent real-world environments**
- Idea: **replicate real-world scenes** in lab. The scenes originate from
 - Measurements (sounder, scanner, UE);
 - Ray-tracing simulation software integration.
- System model



Part III. Multi-Antenna Techniques

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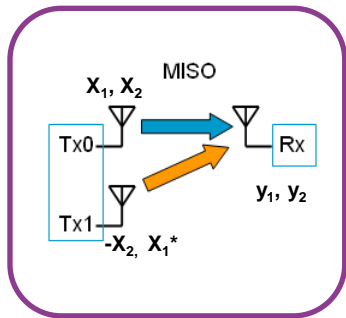
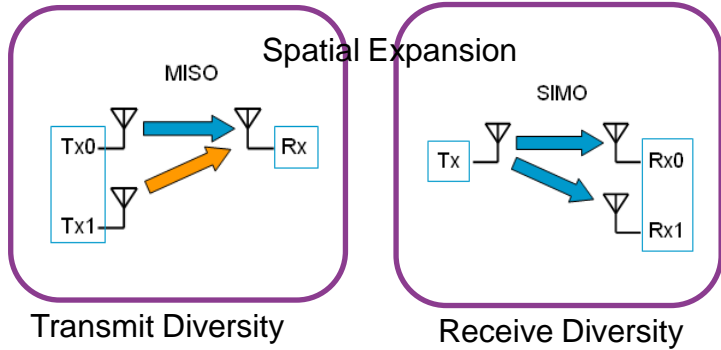
Motivation

- Higher requirement for **system capacity** and **spectral efficiency**(bits/s/Hz)
- To overcome traditional approaches (expand bandwidth, higher modulation order, multiple access)
- The MIMO for better use the **spatial** resource
 - The capacity is increased by a multiplication of the number of antennas

$$C = B \times \log_2 \left(1 + \frac{S}{N} \right) (\text{bit} / \text{s}) \times M$$

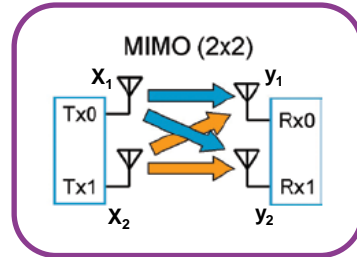
Classification

Spatial diversity Improve robustness

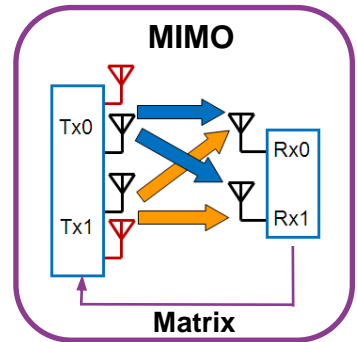


Space-time block coding (STBC)

Spatial multiplexing Improve user throughput

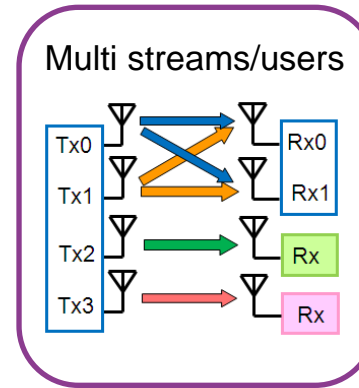


Spatial division multiplexing



Transmit Beamforming

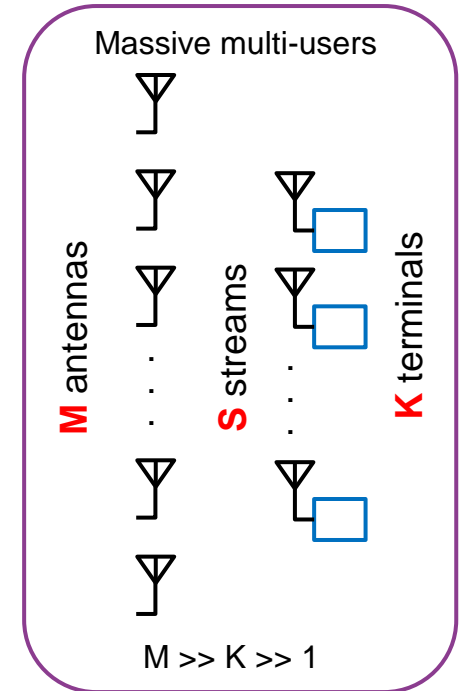
Multi-user Increase system efficiency



Multi-user MIMO

Use spatial channel information?

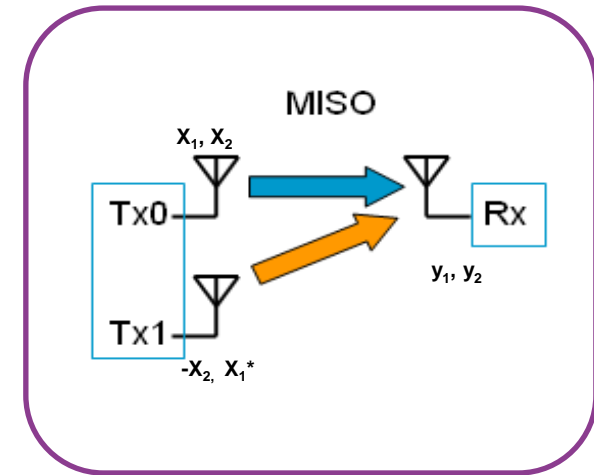
- Open-loop MIMO
- Closed-loop MIMO



Massive MIMO

Transmit Diversity

- Use transmit diversity to diminish the effects of fading by *transmitting the same information from two different antennas*
- The data from the second antenna is *encoded differently* to distinguish it from the primary antenna
- The transmit diversity feature uses *ST(space-time)* or *SF(space-frequency)* block encoding to differentiate the signals between Antenna 1 and Antenna 2
- The user equipment (UE) must be able to recognize that the information is coming from two different locations and properly decode the data.



$$\begin{array}{c} \text{SFBC: } \xrightarrow{\quad f_1 \quad f_2 \quad} \\ \begin{array}{c} \text{Tx}_0 \\ \text{Tx}_1 \end{array} \left[\begin{array}{cc} x_1 & x_2 \\ -x_2^* & x_1^* \end{array} \right] \\ \text{STBC: } \xrightarrow{\quad t_1 \quad t_2 \quad} \\ * \text{ complex conjugate} \end{array}$$

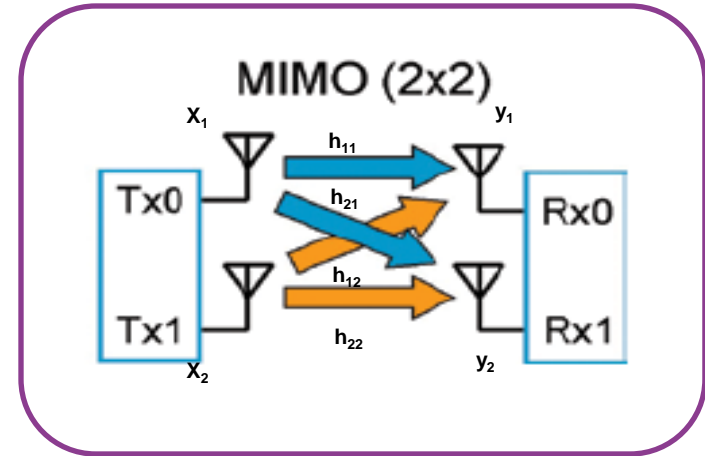
Spatial Multiplexing

– Operation Concept

- *Transmission of multiple spatial data streams over different antennas* in the same RB
- The dimension of spatial channels is increased and *system capacity increased*

– Relevant signal processing

- Perform *Layer mapping* and *Pre-coding* to lower the receiver complexity and reduce the signal interference between antennas
- Statistic correlation between vector(h_{11}, h_{12}) and vector(h_{21}, h_{22})



x : transmitted signal,

y : received signal,

H : spatial channel matrix,

H_{ij} : channel coefficient from the j^{th} transmit antenna and the i^{th} receive antenna.

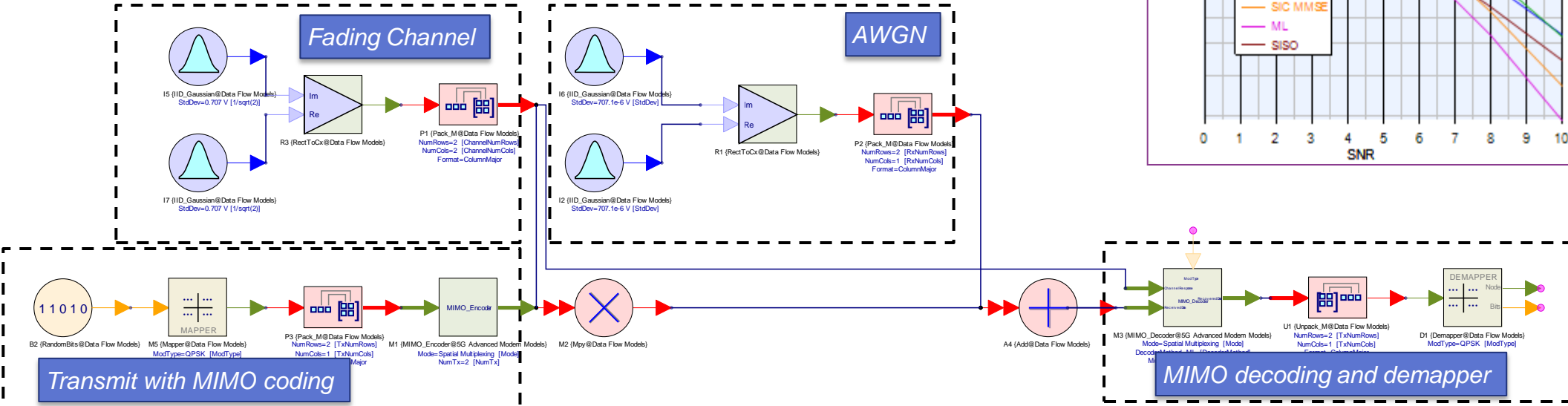
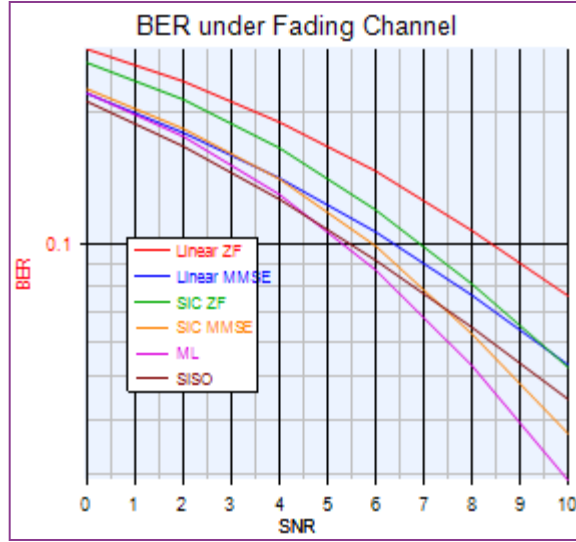
$y = Hx$

$y_1 = h_{11}x_1 + h_{12}x_2 + n_1$

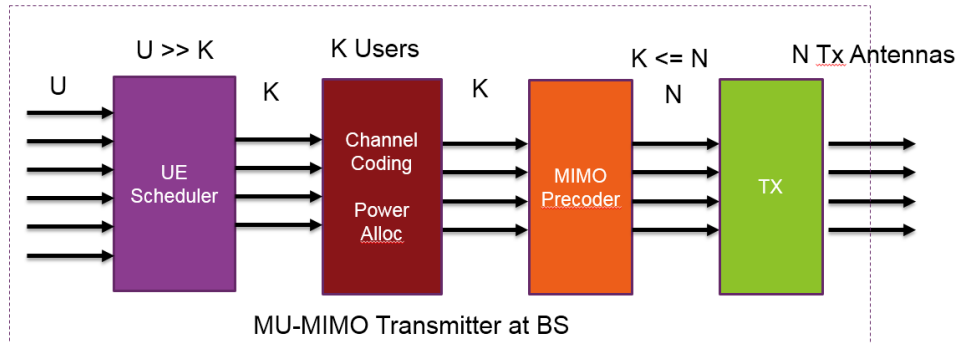
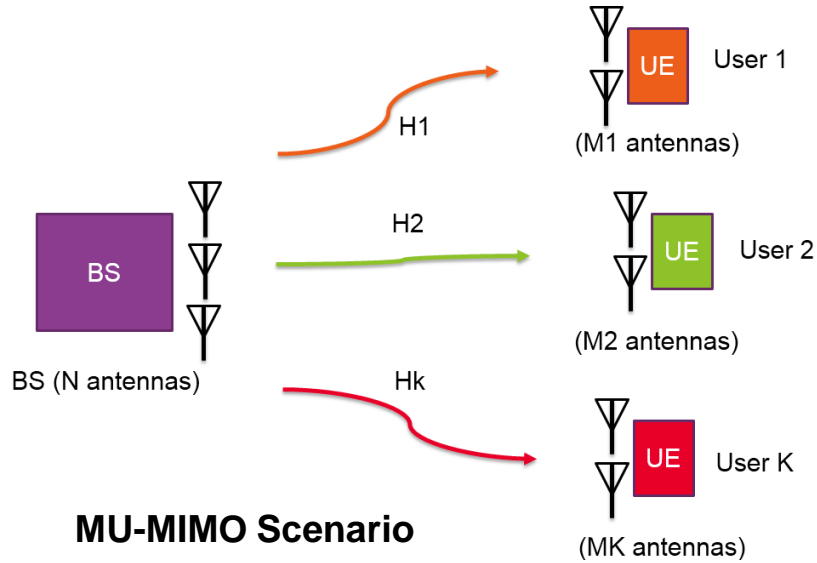
$y_2 = h_{21}x_1 + h_{22}x_2 + n_2$

Modeling and Simulation for MIMO

- MIMO Tx/Rx simulation under Rayleigh fading and AWGN channel
- Explore different decoding algorithms and performance evaluation
 - ML, MMSE-SIC, ZF-SIC, MMSE-Linear, ZF-Linear



Multi-User MIMO



Capacity Comparison

$$\text{SU-MIMO: } M \log(1 + \text{SNR})$$

$$\text{MU-MIMO: } M \log \left(1 + \frac{\text{SNR}}{M} \log U \right), U \rightarrow \infty$$

M: TX antenna number, U: Total user number

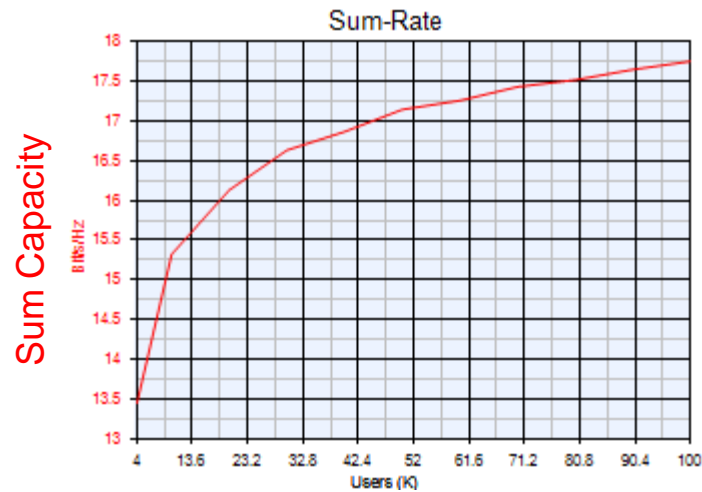
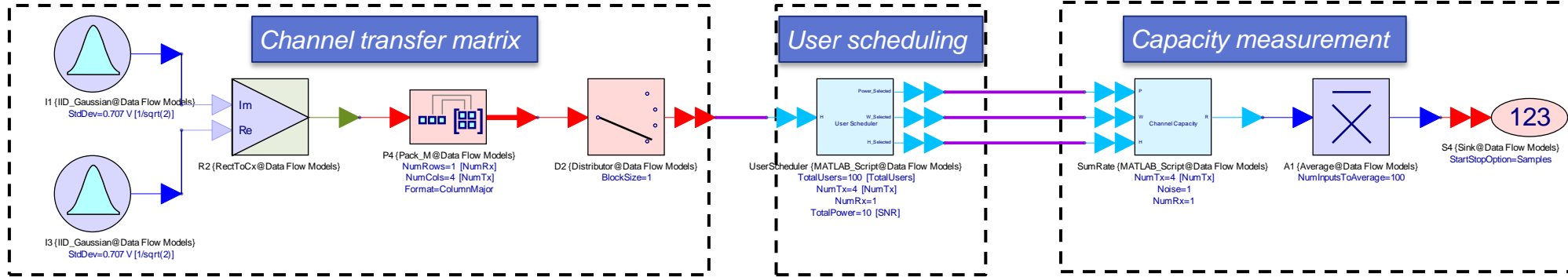
Received signal at UE k:

$$y_k = \mathbf{H}_k \mathbf{W}_k s_k + \mathbf{H}_k \sum_{l=1, l \neq k}^K \mathbf{W}_l s_l + \mathbf{n}_k$$

The challenge for MU-MIMO is to find orthogonal users and design precoding \mathbf{W} to minimize the second term with the restrictions of user grouping, power, latency and complexity

\mathbf{H}_k : kth user's channel, \mathbf{W}_k : weight vector, s_k : data symbol

Modeling and Simulation for Capacity Estimation



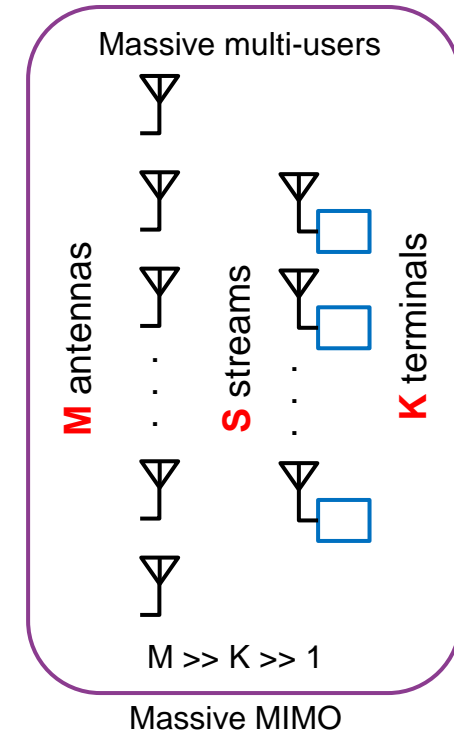
User K: 4->100

Simulation condition

- Transmit antenna number (M) : 4
- Total number of user : from 4 to 100
- SNR=10dB
- Power allocation by waterfilling algorithm

Massive MIMO

- The use of a very large number of service antennas operated fully **coherent** and **adaptive**
- Brings huge improvements in **throughput** and **energy efficiency** when combined with simultaneous scheduling of a large number of UEs
- System Model : **M** transmit antenna with maximum **S** streams, **K** users each with a single antenna
- Originally envisioned for time division duplex(TDD¹), but can potentially be applied in frequency division duplex(FDD)



Note¹ : Prefer TDD as not enough resources for pilots and CSI feedback.

Massive MIMO Operation and Challenges

Operation

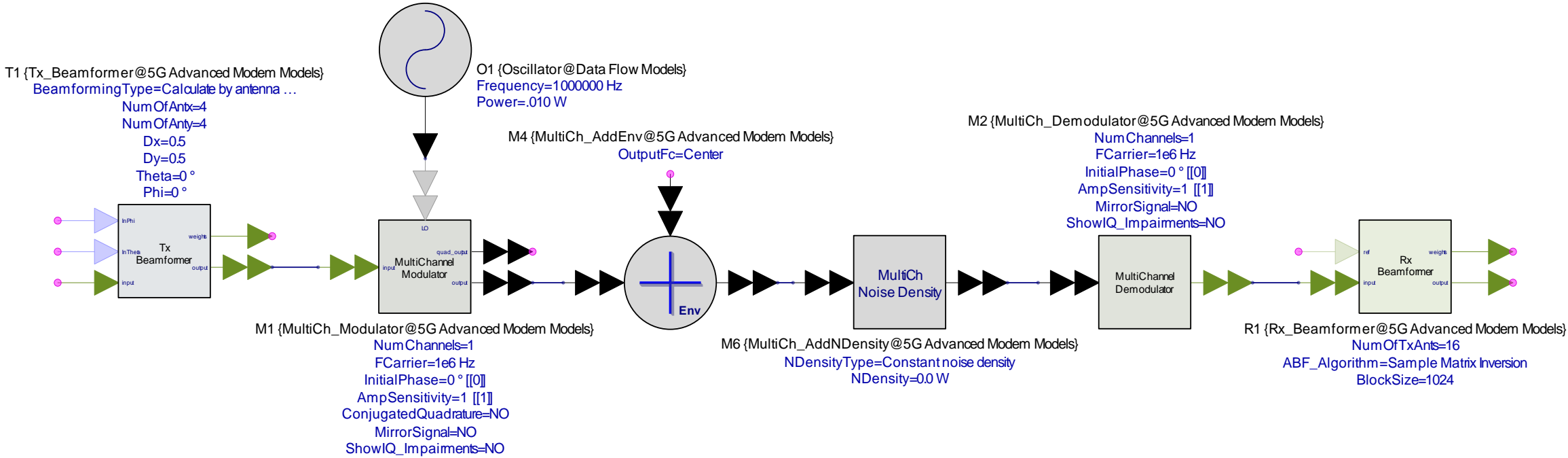
- Acquire Channel State Information from uplink Pilots / Data
- Reciprocity calibration and adjustment
- Pre-coding¹ to support multi-stream transmission
- MMSE receiver with beamforming
 - Maximum ratio combining(MRC) : interference and noise are both white in the space
 - Interference rejection combining(IRC): colored interference

Challenges

- Pilot contamination: interference from other cells
 - Blind channel estimation?
 - Coordination and planning?
- New pre-coder with low-complexity, low-PAPR
- Hardware performance
 - I/Q imbalance, A/D resolution, PA linearity
 - Phase noise, clock distribution
- Synchronization at low SNR

Note¹ : Linear pre-coding [maximum ratio transmission(MRT), zero-forcing(ZF)].
Non-linear pre-coding [Dirty paper coding(DPC)], full CSI required

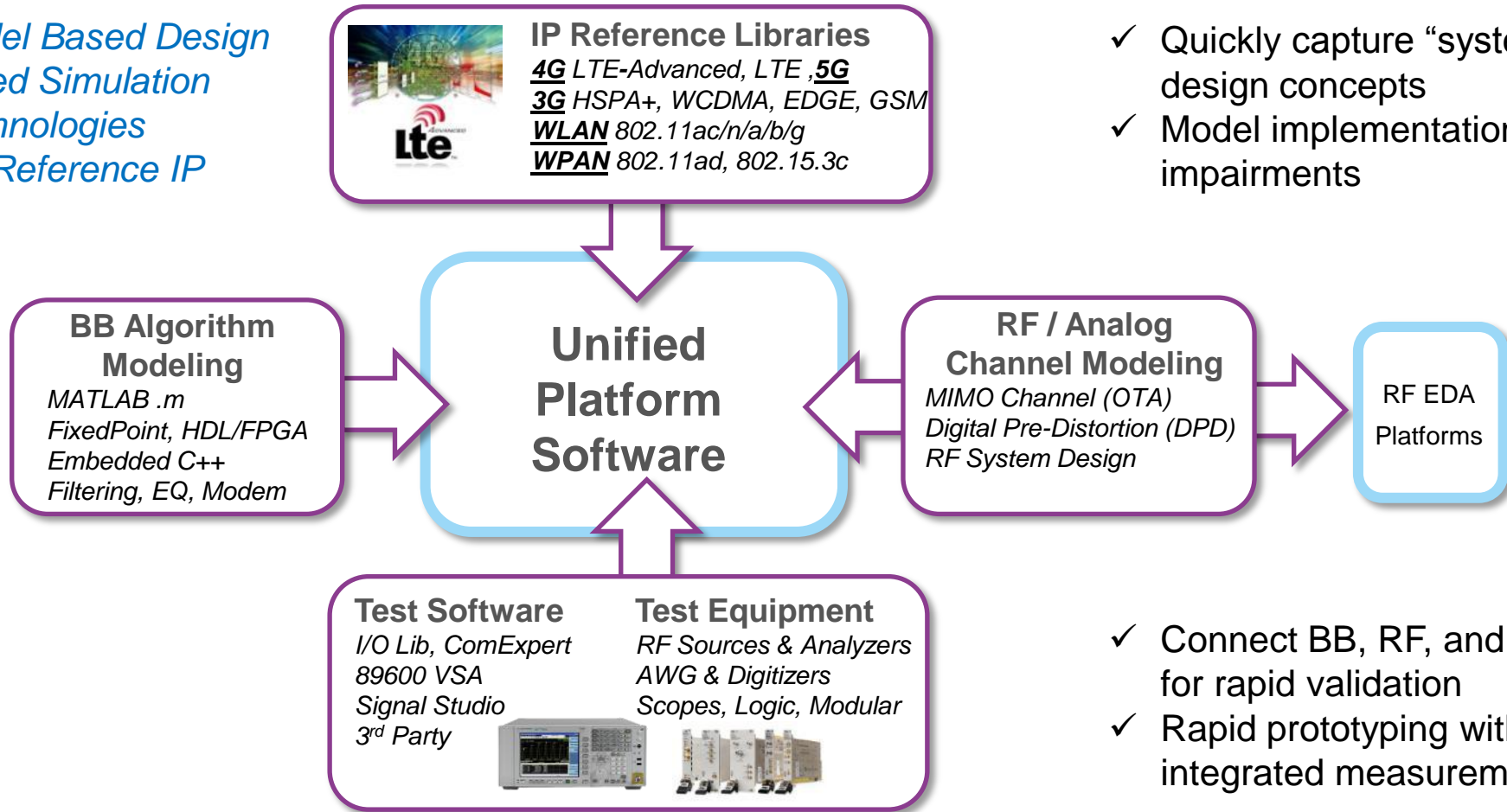
Modeling and Simulation for Large Number of Antennas



Summary: What you need for your 5G research is...

Transition naturally from Design to Test with a single “cockpit”

- ✓ *Model Based Design*
- ✓ *Mixed Simulation Technologies*
- ✓ *5G Reference IP*



- ✓ Quickly capture “system level” design concepts
- ✓ Model implementation-level impairments

- ✓ Connect BB, RF, and T&M for rapid validation
- ✓ Rapid prototyping with integrated measurement

Thank you!

– Resources

- SystemVue : www.keysight.com/find/eesof-systemvue
- 5G Library: www.keysight.com/find/eesof-systemvue-5g-exploration

– Try SystemVue! Obtain a “FREE” 45-day evaluation copy of SystemVue and explore how SystemVue can help with early 5G systems exploration and evaluation

- <http://www.keysight.com/find/eesof-systemvue-evaluation>